



**Washington Metropolitan Area Transit Authority (WMATA)**  
Overview of Regulatory Compliance Actions

As of 1/12/2024



Item	Source	Description	Issue Date	CAPs <sup>1</sup> / Actionable Items <sup>2</sup> Progress					CAPs Status Bar	Comments	
				CAPs Actionable Items	Total Submitted	Closed	Under Review <sup>3</sup>	In Development			
Washington Metrorail Safety Commission (WMSC) - Safety Directives											
1	WMSC Corrective Action Plans	WMSC Corrective Action Plans	2020	50 166	50 166	49 159	1 7	0 0	<div><div></div></div> <div>97%98%98%99%99%100%100%</div>	Click <a href="#">here</a> for the WMSC detailed tracker	
		2021	110 579	85 483	77 349	8 134	25 96	<div><div></div></div> <div>0%20%40%60%80%100%</div>			
		2022	72 431	38 311	24 96	14 215	34 120	<div><div></div></div> <div>0%20%40%60%80%100%</div>			
		2023	8 38				8 31	<div><div></div></div> <div>0%20%40%60%80%100%</div>			
Total			CAPs	240	173	150	23	67	<div><div></div></div> <div>0%20%40%60%80%100%</div>		
			Actionable Items	1214	967	604	363	247	<div><div></div></div> <div>0%20%40%60%80%100%</div>		
Federal Transit Administration (FTA) - Safety Directives											
1	Safety Directive 15-1	Safety Management Inspection (SMI)	June 2015	91 731	91 731	91 731			<div><div></div></div> <div>0%20%40%60%80%100%</div>	Click <a href="#">here</a> for the SMI detailed tracker	
2	Safety Directive 16-2	Tri-State Oversight Committee (TOC)	December 2015	131 237	130 236	130 236	1 1		<div><div></div></div> <div>0%20%40%60%80%100%</div>		Click <a href="#">here</a> for the TOC detailed tracker
3	Safety Directive 16-4	Track Integrity Investigation	August 2016	12 57	12 57	12 57			<div><div></div></div> <div>0%20%40%60%80%100%</div>	Click <a href="#">here</a> for the 16-4 detailed tracker	
4	Safety Directive 16-5	Stop Signal Overrun Investigation	August 2016	11 60	11 60	11 60			<div><div></div></div> <div>0%20%40%60%80%100%</div>	Click <a href="#">here</a> for the 16-5 detailed tracker	
5	Safety Directive 16-6	Rail Vehicle Securement Investigation	August 2016	6 24	6 24	6 24			<div><div></div></div> <div>0%20%40%60%80%100%</div>	Click <a href="#">here</a> for the 16-6 detailed tracker	
6	Special Directive 17-1	Traction Power Electrification Investigation	December 2016	17 78	17 78	17 78			<div><div></div></div> <div>0%20%40%60%80%100%</div>	Click <a href="#">here</a> for the 17-1 detailed tracker	
7	Special Directive 18-2	TOC Triennial Safety Findings	April 2018	21 87	21 87	21 87			<div><div></div></div> <div>0%20%40%60%80%100%</div>	Click <a href="#">here</a> for the 18-2 detailed tracker CAPs approved by FTA on 05/22/18	
Total			CAPs	289	288	288	0	1	<div><div></div></div> <div>0%20%40%60%80%100%</div>		
			Actionable Items	1274	1273	1273		1	<div><div></div></div> <div>0%20%40%60%80%100%</div>		
National Transportation Safety Board (NTSB) - Safety Recommendations											
1	Open Items Prior to L'Enfant Incident	Various		3 3	3 3	2 2	1 1		<div><div></div></div> <div>0%20%40%60%80%100%</div>	Click <a href="#">here</a> for the NTSB detailed tracker	
2	Immediate from L'Enfant Incident	February 2015		4 4	4 4	4 4			<div><div></div></div> <div>0%20%40%60%80%100%</div>		
3	L'Enfant Incident	May 2016		24 85	22 79	18 63	4 16	2 6	<div><div></div></div> <div>0%20%40%60%80%100%</div>		
Total			CAPs	31	29	24	5	2	<div><div></div></div> <div>0%20%40%60%80%100%</div>		
			Actionable Items	92	86	69	17	6	<div><div></div></div> <div>0%20%40%60%80%100%</div>		
Overview of Regulatory Compliance Actions											
Grand Total			CAPs	560	490	462	28	70	<div><div></div></div> <div>0%20%40%60%80%100%</div>		
			Actionable Items	2580	2326	1946	380	254	<div><div></div></div> <div>0%20%40%60%80%100%</div>		

<sup>1</sup>A CAP is a management tool used to ensure actionable items are completed, including specific actions, milestone schedules, action owners, budget, performance and verification strategies

<sup>2</sup>Actionable Items are individual, definable actions that make up each CAP and provide evidence of completion

<sup>3</sup>Under Review status includes items that are under review by the WMSC and items where WMATA is addressing WMSC comments after review